

O I P E JC188  
JAN 14 2003  
PATENT & TRADEMARK OFFICE

PATENT  
Attorney Docket No. CTX-073  
(1545/127)

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Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Stutz et al.

APPLICATION NO.: 09/891,822

ART UNIT: 2152

FILING DATE: June 26, 2001

EXAMINER: Not yet assigned

TITLE: Methods and Apparatus for Load Balanced Information Aggregation and Presentation

Commissioner for Patents  
Washington, D.C. 20231

FIRST INFORMATION DISCLOSURE STATEMENT

Sir:

In accord with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby direct the Office's attention to Application No. 09/891,821, filed on June 26, 2001, for consideration by the Examiner in connection with the examination of this patent application.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a **final action or a notice of allowance** under 37 C.F.R. 1.311, and
- the requisite Statement is below, OR
- the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or
- (3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, AND

- the requisite Statement is below, AND
- the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

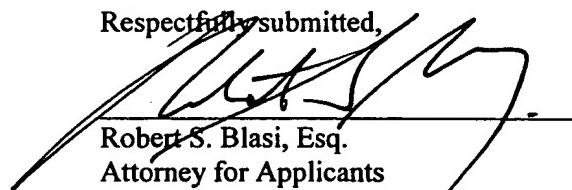
As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that

- 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Date: December 18, 2002  
Reg. No. 50,389

Tel. No.: (617) 248-7097  
Fax No.: (617) 248-7100

Respectfully submitted,



Robert S. Blasi, Esq.  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110



FIRST PTO - 1449 FORM

ATTORNEY DOCKET NO. CTX-073 (1545/12)

APPLICANT: Stutz et al.

APPLICATION NO. 09/891,822

FILING DATE: June 26, 2001 ART UNIT: 2152

## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	09/891,821		Stutz			06/26/01

## FOREIGN PATENT DOCUMENTS

## OTHER ART, JOURNAL ARTICLES, ETC.

<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>	
<b>EXAMINER</b>	<b>DATE CONSIDERED</b>	

2560350

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**PATENT**  
Attorney Docket No. CTX-073  
(1545/127)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Stutz et al

APPLICATION NO.: 09/891,822

ART UNIT: 2152

FILING DATE: June 26, 2001

EXAMINER: Not yet assigned

TITLE: Methods and Apparatus for Load Balanced Information Aggregation  
and Prevention

Commissioner for Patents  
Washington, D.C. 20231

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JAN 15 2003

Technology Center 2100

**TRANSMITTAL OF CITED REFERENCES**

Sir:

Please find enclosed a copy of U.S. Patent Application No. 09/891,821 which was cited in an Information Disclosure Statement filed in this case on December 18, 2002. A copy of the Information Disclosure Statement is enclosed.

The undersigned requests that the Examiner contact him with any questions.

Respectfully submitted,

Robert S. Blasi, Esq.  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: January 9, 2003  
Reg. No. 50,389

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2152

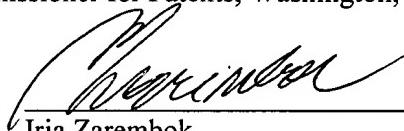
PATENT  
Attorney Docket No. CTX-073  
(1545/127)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Stutz et al. #6  
APPLICATION NO. 09/891,822 ART UNIT 2152  
FILING DATE: June 26, 2001 EXAMINER: Not Yet Assigned  
TITLE: Methods and Apparatus for Load Balanced Information Aggregation and Presentation

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on this 9th day of January 2003.

  
Irja Zarembok

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

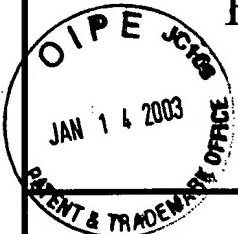
Transmittal Form (1 pg.);  
Transmittal of Cited References (1 pg.);  
First PTO-1449 Form (1 pg.);  
copy of First Information Disclosure Statement (2 pgs.);  
copy of cited reference (A1); and  
Return Receipt Postcard

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# TRANSMITTAL FORM



Application Serial Number	09/891,822
Filing Date	June 26, 2001
First Named Inventor	Stutz
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-073
BATCH NO. (after allowance)	Not Applicable
Patent No.	Not Applicable
Issue Date	Not Applicable

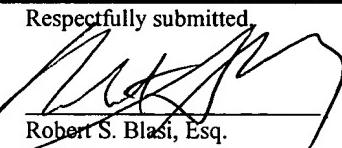
## ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul> <input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> Preliminary</li> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson Including Drawings [Total Sheets ____]</li> </ul> <input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)  <input type="checkbox"/> Formal Drawing(s)  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <ul style="list-style-type: none"> <li><input type="checkbox"/> Certificate of Correction (in duplicate)</li> </ul>	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard  <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  <input checked="" type="checkbox"/> Transmittal of Cited References (1 pg.)
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
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## SIGNATURE BLOCK

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